

Serial No. 10/647,642

PATENT

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of: David Ernest Hartley

Atty. Docket No.: PA-5343-RFB

Customer No.: 9896

Serial No.: 10/647,642

Group Art Unit: 3738

Filed: August 25, 2003

Examiner: Christopher D. Prone

Title: ASYMMETRIC STENT GRAFT ATTACHMENT

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97 - 1.98, and more particularly in accordance with 37 C.F.R. §1.97(d), Applicants hereby cite the following references:

| No.       | Date of Publication | Patentee/Applicant |
|-----------|---------------------|--------------------|
| 5,387,235 | 2/1995              | Chuter             |
| 5,562,726 | 10/1996             | Chuter             |
| 5,575,817 | 11/1996             | Martin             |
| 5,634,941 | 6/1997              | Winston et al.     |
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|---------------|---------|------------------|
| US20010004707 | 5/2001  | Dereume et al.   |
| US20010014823 | 8/2001  | Ressemann et al. |
| US20010049554 | 12/2001 | Ruiz et al.      |
| US20030120332 | 6/2003  | Hartley          |
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| 6,206,931     | 3/2001  | Cook et al.      |
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| WO9853761     | 12/1998 | WIPO             |
| WO9929262     | 3/1999  | WIPO             |
| WO03034948    | 5/2003  | WIPO             |

Applicants are enclosing Form PTO-1449 (1 sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above references and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability", as that phrase is defined in 37 C.F.R. §1.56(a).

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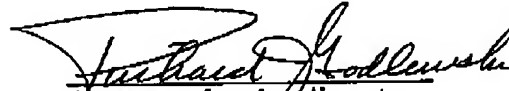
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The relevance with respect to the citations listed on Form PTO-1449 is submitted on the basis of the accompanying International Search Report that is in the English language which issued on the corresponding International Patent Application. No additional fee is due at this time. In the event of improper payment of a required fee, the Commissioner is authorized to charge or to credit Deposit Account No. 13-2528 as required to correct the error.

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Respectfully,

  
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Date: May 31, 2005

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## Section 2. Form PTO-1449 (Modified)

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| FORM PTO-1449<br>(Modified)<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Use Several Sheets if Necessary)<br><br>37 CFR 1.98(b) | ATTY. DOCKET NO.<br>PA-5343-RFB   | SERIAL NO.<br>10/647,642 |
|  | APPLICANT<br>David Ernest Hartley |                          |
|  | FILING DATE<br>August 25, 2003    | GROUP<br>3738            |

### U.S. PATENT DOCUMENTS

| EXAMINER INITIAL |    | PATENT NUMBER |   |   |   |   |   |   | ISSUE DATE | PATENTEE         | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |  |
|------------------|----|---------------|---|---|---|---|---|---|------------|------------------|-------|----------|----------------------------|--|
|                  | AA | 5             | 3 | 8 | 7 | 2 | 3 | 5 | 2/1995     | Chuter           |       |          |                            |  |
|                  | AB | 5             | 5 | 6 | 2 | 7 | 2 | 6 | 10/1996    | Chuter           |       |          |                            |  |
|                  | AC | 5             | 5 | 7 | 5 | 8 | 1 | 7 | 11/1996    | Martin           |       |          |                            |  |
|                  | AD | 5             | 6 | 3 | 4 | 9 | 4 | 1 | 8/1997     | Winston et al.   |       |          |                            |  |
|                  | AE | 5             | 6 | 5 | 3 | 7 | 4 | 3 | 8/1997     | Martin           |       |          |                            |  |
|                  | AF | 5             | 7 | 2 | 0 | 7 | 7 | 6 | 2/1998     | Chuter et al.    |       |          |                            |  |
|                  | AG | 5             | 7 | 4 | 1 | 2 | 3 | 5 | 4/1998     | Chalkof et al.   |       |          |                            |  |
|                  | AH | 5             | 8 | 4 | 3 | 1 | 6 | 0 | 12/1998    | Rhodes           |       |          |                            |  |
|                  | AI | 6             | 0 | 3 | 6 | 7 | 2 | 3 | 3/2000     | Anidjar et al.   |       |          |                            |  |
|                  | AJ | 6             | 1 | 0 | 2 | 9 | 3 | 8 | 8/2000     | Evans et al.     |       |          |                            |  |
|                  | AK | 6             | 2 | 0 | 6 | 9 | 3 | 1 | 3/2001     | Cook et al.      |       |          |                            |  |
|                  | AL | 6             | 2 | 2 | 4 | 8 | 0 | 9 | 5/2001     | Ressemann et al. |       |          |                            |  |
|                  | AM | 6             | 3 | 3 | 1 | 1 | 9 | 1 | 12/2001    | Chobolov         |       |          |                            |  |

### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

|  |    | DOCUMENT NUMBER |   |   |   |   |   |   | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|---|---|---|---|---|---|------------------|--------------------------|-------|----------|-------------|----|
|  |    |                 |   |   |   |   |   |   |                  |                          |       |          | YES         | NO |
|  | AN | 9               | 5 | 2 | 6 | 6 | 9 | 5 | 10/1995          | WIPO                     |       |          |             |    |
|  | AO | 0               | 6 | 8 | 0 | 7 | 3 | 3 | 11/1995          | EPO                      |       |          |             |    |
|  | AP | 9               | 8 | 5 | 3 | 7 | 6 | 1 | 12/1998          | WIPO                     |       |          |             |    |
|  | AQ | 9               | 9 | 2 | 9 | 2 | 6 | 2 | 3/1999           | WIPO                     |       |          |             |    |
|  | AR | 0               | 3 | 0 | 3 | 4 | 9 | 4 | 5/2003           | WIPO                     |       |          |             |    |

### OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

|    |  |
|----|--|
| AS | U.S. Patent Application Publication No. 2001/0004707; Dereume et al.; 5/2001   |
| AT | U.S. Patent Application Publication No. 2001/0014823; Ressemann et al.; 8/2001 |
| AU | U.S. Patent Application Publication No. 2001/0049554; Ruiz et al.; 12/2001     |
| AV | U.S. Patent Application Publication No. 2003/0120332; Hartley; 6/2003          |
| AW | U.S. Patent Application Publication No. 2003/0233140; Hartley et al.; 12/2003  |
| AX | U.S. Patent Application Publication No. 2004/0098079; Hartley et al.; 5/2004   |
| AY | U.S. Patent Application Publication No. 2004/0054396; Hartley et al.; 3/2004   |
| AZ | U.S. Patent Application Publication No. 2004/0082990; Hartley.; 4/2004         |
| BA | International Search Report; PCT/US03/26561; 12/2003                           |

|   |                 |
|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |

Information Disclosure Statement - Section 2. FORM PTO-1449 (Modified)

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